



1/20 2176

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: October 4, 2006 Name: Vincent J. Gnoffo, Reg. No. 44,714 Signature: Vincent J. Gnoffo

BRINKS
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& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kishore Swaminathan et al.

Appln. No.: 09/521,235

Filed: March 8, 2000

For: Knowledge Management Tool Proposal Wizard

Attorney Docket No: 10022/112

Examiner: Maikhanh Nguyen

Art Unit: 2176

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Cover Letter (1p. Filed in Dup.); Third Supplemental Information Disclosure Statement and PTO Form 1449 (3pp.); 6 cited non-US patents
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

						Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus				x \$25=			x \$50=	
Indep.		Minus				x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+ \$360=	
						Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Oct. 4, 2006
Date

Respectfully submitted,
Vincent J. Gnoffo
Vincent J. Gnoffo (Reg. No. 44,714)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,467,052	10/15/2002	Kaler et al.
6,314,555 B1	11/06/2001	Ndumu et al.
6,298,327 B1	10/02/2001	Hunter et al.
6,275,229 B1	08/14/2001	Weiner et al.
6,233,578 B1	05/15/2001	Machihara et al.
6,212,549	04/03/2001	Page et al.
6,161,113	12/12/2000	Mora et al.
6,078,326	06/20/2000	Kilmer et al.
6,003,034	12/14/1999	Tuli
5,987,415	11/16/1999	Breese et al.
5,982,369	11/09/1999	Sciammerlla et al.
5,973,693	10/26/1999	Light
5,905,991	05/18/1999	Reynolds
5,860,064	01/12/1999	Henton
5,857,181	01/05/1999	Augenbraun et al.
5,831,631	11/03/1998	Light et al.
5,793,438	08/11/1998	Bedard
5,761,663	06/02/1998	Lagarde et al.
5,734,794	03/31/1998	White
5,704,007	12/30/1997	Cecys
5,647,834	07/15/1997	Ron
5,515,488	05/07/1996	Hoppe
5,297,249	03/22/1994	Bernstein
FOREIGN PATENT DOCUMENTS		
DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
WO 99/21308	04/29/1999	WIPO

WO 98/43406	10/01/1998	WIPO
WO 98/27725	06/25/1998	WIPO
JP 09247565	09/19/1997	Japan
EP 0663769	07/19/1995	EPO
GB 2,235,603 A	06/03/1991	Great Britain

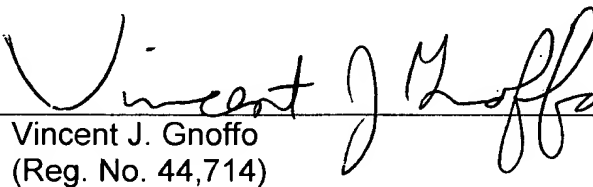
Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Oct. 4, 2006
Date


Vincent J. Gnoffo
(Reg. No. 44,714)



FORM PTO-1449	SERIAL NO. 09/521,235	CASE NO. 10022/112
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 8, 2000	GROUP ART UNIT 2172
(use several sheets if necessary)		APPLICANT(S): Kishore Swaminathan et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	6,467,052	10/15/2002	Kaler et al.		
	D2	6,314,555 B1	11/06/2001	Ndumu et al.		
	D3	6,298,327 B1	10/02/2001	Hunter et al.		
	D4	6,275,229 B1	08/14/2001	Weiner et al.		
	D5	6,233,578 B1	05/15/2001	Machihara et al.		
	D6	6,212,549	04/03/2001	Page et al.		
	D7	6,161,113	12/12/2000	Mora et al.		
	D8	6,078,326	06/20/2000	Kilmer et al.		
	D9	6,003,034	12/14/1999	Tuli		
	D10	5,987,415	11/16/1999	Breese et al.		
	D11	5,982,369	11/09/1999	Sciammerlla et al.		
	D12	5,973,693	10/26/1999	Light		
	D13	5,905,991	05/18/1999	Reynolds		
	D14	5,860,064	01/12/1999	Henton		
	D15	5,857,181	01/05/1999	Augenbraun et al.		
	D16	5,831,631	11/03/1998	Light et al.		
	D17	5,793,438	08/11/1998	Bedard		
	D18	5,761,663	06/02/1998	Lagarde et al.		
	D19	5,734,794	03/31/1998	White		
	D20	5,704,007	12/30/1997	Cecys		
	D21	5,647,834	07/15/1997	Ron		
	D22	5,515,488	05/07/1996	Hoppe		
	D23	5,297,249	03/22/1994	Bernstein		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	D24	WO 99/21308	04/29/1999	WIPO		
	D25	WO 98/43406	10/01/1998	WIPO		
	D26	WO 98/27725	06/25/1998	WIPO		
	D27	JP 09247565	09/19/1997	Japan		
	D28	EP 0663769	07/19/1995	EPO		
	D29	GB 2,235,603 A	06/03/1991	Great Britain		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.